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02/11/02 1007 3429 U.S. PTO

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Attorney Docket No. KNI-161-A First Inventor or Application Identifier Obuchi et al. Title Processing Method for Substrate Express Mail Label No. ET831915399US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231

1. Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 3. Drawing(s) (35 U.S.C. 113) [Total Sheets 3] 4. Oath or Declaration [Total Pages 4] a. Newly executed (original or copy) b. Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

6. Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. Assignment Papers (cover sheet & document(s)) 9. 37 C.F.R. § 3.73(b) Statement (when there is an assignee) Power of Attorney 10. English Translation Document (if applicable) 11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations 12. Preliminary Amendment 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) \* Small Entity Statement(s) Statement filed in prior application, Status still proper and desired (PTO/SB/09-12) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Other: application data sheet with fee transmittal

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment: Continuation Divisional Continuation-in-part (CIP) of prior application No: Prior application information: Examiner Group / Art Unit:

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 21828 (Insert Customer No. or Attach bar code label here) or Correspondence address below

Name Carrier, Blackman & Associates, P.C. Address 24101 Novi Road, Suite 100 City Novi State Michigan Zip Code 48375 Country United States Telephone 248.344.4422 Fax 248.344.1096

Name (Print/Type) Joseph P. Carrier Registration No. (Attorney/Agent) 31,748 Signature Date 11 Feb. 02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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24101 NOVI ROAD  
SUITE 100  
NOVI, MICHIGAN 48375

tel. 248-344-4422 / fax 248-344-1096  
e-mail: cba@home.msen.com  
www.carrier-blackman.com

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**EXPRESS MAIL CERTIFICATE NO. ET831915399US**

Box Patent Application  
Assistant Commissioner For Patents  
Washington, D.C. 20231

// February 2002

**APPLICATION DATA SHEET  
INCLUDING BIBLIOGRAPHIC DATA, APPLICATION TRANSMITTAL,  
FEE TRANSMITTAL, AND CERTIFICATE OF MAILING**

Sir:

Enclosed please find an application for U.S. Letters Patent as identified below:

Title Of Invention:	PROCESSING METHOD FOR SUSTRATE
Application Type:	utility
Attorney Docket Number:	KNI-161-A
Correspondence Customer Number:	21828
Inventors:	<b>First Named Inventor</b>
	Given Name: Kazuto
	Middle Name:
	Family Name: Obuchi
	Mailing Address c/o Tokyo Ohka Kogyo Co., Ltd.
	Street: 150, Nakamaruko, Nakahara-ku,
	Kawasaki-shi,
	City: Kanagawa
	Country: Japan
	Residence
	City: Kanagawa
	Country: Japan
	Citizenship: Japan
	<b>Second Named Inventor</b>
	Given Name: Kaoru
	Middle Name:
	Family Name: Mizutani
	Mailing Address c/o Tokyo Ohka Kogyo Co., Ltd.
	Street: 150, Nakamaruko, Nakahara-ku,
	Kawasaki-shi,
	City: Kanagawa
	Country: Japan
	Residence
	City: Kanagawa
	Country: Japan
	Citizenship: Japan

**Third Named Inventor**

Given Name: Atsushi  
Middle Name:  
Family Name: Matsushita  
Mailing Address c/o Tokyo Ohka Kogyo Co., Ltd.  
Street: 150, Nakamaruko, Nakahara-ku,  
Kawasaki-shi,  
City: Kanagawa  
Country: Japan  
Residence  
City: Kanagawa  
Country: Japan  
Citizenship: Japan

Publication Data: Publication Filing Type: new utility

**Published Correspondence Name And Address**

Name: Carrier, Blackman & Associates, P.C.  
Address: 24101 Novi Road #100  
City: Novi  
State: MI  
Postal Code: 48375  
Country: United States  
Telephone: 248.344.4422  
Facsimile: 248.344.1096

**Published First Assignee Information**

Assignee: Tokyo Ohka Kogyo Co., Ltd.  
Address: 150, Nakamaruko, Nakahara-ku,  
Kawasaki-shi  
City: Kanagawa  
Country: Japan

Representative:

**CUSTOMER NUMBER 21828**

Carrier, Blackman & Associates, P.C.  
24101 Novi Road #100  
Novi, Michigan 48375  
United States  
Telephone 248.344.4422  
Facsimile 248.344.1096  
Joseph P. Carrier, Reg. No. 31,748  
William D. Blackman, Reg. No. 32,397

Continuity Data: none

Foreign Priority Benefit: **First Foreign Application**

Application Number: 2001-33246  
Filing Date: 09 February 2001  
Country: Japan  
Priority Claimed: YES

This application includes: Specification, Claims and Abstract  
Formal Drawings - 3 sheets  
Declaration and Power Of Attorney  
Preliminary Amendment-A  
Assignment  
MPEP 609 Statement

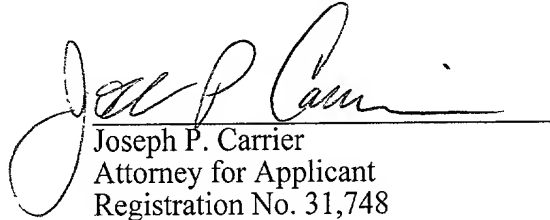
Fee Calculation And Transmittal

Basic Fee	\$740.00
0 claims over 20 x \$18.00	.00
0 independent claims over 3 x \$84.00	.00
<b>TOTAL FILING FEE:</b>	<b>\$740.00</b>

**The Commissioner is hereby authorized to charge the required \$740.00 filing fee to Deposit Account 50-0744 in the name of Carrier, Blackman & Associates, P.C.** The Commissioner is hereby also authorized to charge any deficiency which may be required during the entire pendency of the application, or to credit any excess paid during the entire pendency of the application, to Deposit Account 50-0744 in the name of Carrier, Blackman & Associates, P.C. A duplicate copy of this sheet is enclosed.

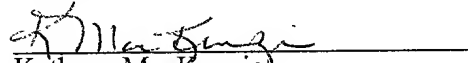
Respectfully submitted,

Customer Number 21828  
Carrier, Blackman & Associates, P.C.  
24101 Novi Road, Suite 100  
Novi, Michigan 48375  
11 February 2002

  
Joseph P. Carrier  
Attorney for Applicant  
Registration No. 31,748  
(248) 344-4422

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail Certificate ET831915399US in an envelope addressed to Box Patent Application, Assistant Commissioner For Patents, Washington, D.C. 20231 on 11 February 2002.

Dated: 11 February 2002  
JPC/km  
enclosures

  
Kathryn MacKenzie

KNI-161-A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Obuchi et al.  
Serial Number: Unknown  
Filed: Filed Concurrently  
Group Art Unit: Unknown  
Examiner: Unknown  
Confirmation Number: Unknown  
Title: PROCESSING METHOD FOR SUBSTRATE

1c971 U.S. PTO  
10/073429  
02/11/02

TRANSMITTAL OF ASSIGNMENT DOCUMENT

Box Assignment  
Commissioner Of Patents And Trademarks  
Washington, D.C. 20231

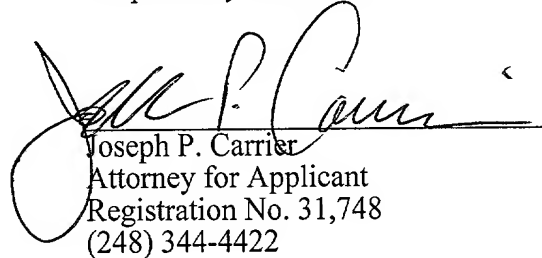
Sir:

In connection with the subject application, applicant encloses an Assignment document for recording in the U.S. Patent And Trademark Office and for return to applicant's undersigned agent.

Also enclosed is a check in the amount of \$40.00 for the Assignment recording fee. All correspondence should be sent to the address as noted below.

Respectfully submitted,

Customer Number 21828  
Carrier, Blackman & Associates, P.C.  
24101 Novi Road, Suite 100  
Novi, Michigan 48375  
11 February 2002

  
Joseph P. Carrier  
Attorney for Applicant  
Registration No. 31,748  
(248) 344-4422

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Box Patent Application, Commissioner Of Patents And Trademarks, Washington, D.C. 20231 on 11 February 2002.

Dated: 11 February 2002  
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enclosures

  
Kathryn MacKenzie